

**PATENT** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Peter R. Munguia

Application No.: 10/810,026

Filed:

March 26, 2004

For: ARBITRATION BASED POWER

**MANAGEMENT** 

MENT

Examiner: Ray, Gopal C.

Art Unit: 2111

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to MS: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 24, 2006 (Date of Deposit)

Dianne Neathery

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

Appl. No.: 10/810,026 Filing Date: March 26, 2004 - 1/2 -

Atty. Docket: 42P18957

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

has been made and is not to be construed as an admission that the information

cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X_	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: July 24, 2006

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Appl. No.: 10/810,026 Filing Date: March 26, 2004

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Substitute for Form 1449/PTO				Complete if Known			
OIPE INFORMATION DISCLOSURE				Application Number	10/810,026		
ON ENTONION DIODEOGNE				Filing Date	March 26, 2004		
STATEMENT BY APPLICANT					First Named Inventor:	Peter R. Munguia	
JUL 2 7 2006 (use as many sheets as necessary)					Art Unit	2111	
<b>A</b>				Examiner Name	Ray, Gopal C.		
SHOUBARE	1		of	2	Attorney Docket Number	42P18957	
7-24-7			U.S. PATEN	T DOCUMENTS			
Examiner Cite No.1 Initials*		Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
		Numbe	er-Kind Code <sup>2</sup> (If known)			Passages or Relevant Figures Appear	
		US-	5,825,854	10-20-1998	Larson et al.		
		US-	5,949,891	09-07-1999	Wagner et al.		
		US-	6,304,645 B1	10-16-2001	Holland et al.		
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		US-	5,628,019	05-06-1997	O'Brien, Rita M.		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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INFORMATION DISCLOSURE				Application Number 10/810,026				
STATEMENT BY APPLICANT (use as many sheets as necessary)					Filing Date	March 26, 2004		
				PLICANT	First Named Inventor:	Peter R. Munguia		
				ssary)	Art Unit	2111		
					Examiner Name	Ray, Gopal C.		
Sheet	2		of	2	Attorney Docket Number	42P18957		
				NON PATENT LI	TERATURE DOCUMENTS			
Examiner Initials*	Examiner City Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the						T <sup>2</sup>	
		PCT Search Report and Written Opinion, PCT/US2005/008998, Int'l. Filing Date March 18, 2005, mailed January 25, 2006, (11 pages).						
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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